PTO/SB/08A (08-03)

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Substitution form 1449/PTO

Sheet one

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Application Number	10/658,506
Filing Date	September 9, 2003
First Named Inventor	Zheng et al.
Art Unit	2818
Examiner Name	Unknown
Attorney Docket Number	AF01182

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Cap		US- 5,898,197	04-27-1999	Fujiwara	
ão -		US- 2001/0044187 A1	11-22-2001	Joo et al.	
TO THE		us- 2002/0141237 A1	10-03-2002	Goda et al.	
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
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Examiner Signature	Cach Phung	Date Considered	4/27/05
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Serier Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute form 1449/PTO	Application Number	10/658,506
INFORMATION DISCLOSURE	Filing Date	September 9, 2003
STATEMENT BY APPLICANT	First Named Inventor	Zheng et al.
(Use as many sheets as necessary)	Art Unit	2818
(Use as many sheats as necessary)	Examiner Name	Unknown
Sheet two of two	Attorney Docket Number	AF01182

Examiner Initials*	Cite No. ¹	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
ap		Reisinger, H. et al., "A Novel SONOS Structure for Nonvolatile Memories with Improved Data Retention," 1997 Symposium on VLSI Technology Digest of Technical Papers, June 10, 1997, pages 113-114.	
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Examiner	Cul Dhung	Date	4/27/05
Signature	Court of the g	Considered	77-17-3

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICATIONS			AF01182 10/658,506							
LIST	OF PATENTS AND	PUBLICA	TIONS	Applicant:						
INFORM	FOR APPLING MATION DISCLOS	EMENT	Zheng et al.							
		Filing Date			Group					
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ap	6,215,702	04/2001	Derhace	obian et al.						
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tes	6,265,268	07/2001	Halliyal	et al.			\perp			
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	WO 98/06138 Author, Title, Date, P	ertinent Pages	OTHER	RART	WO			class	Yes	No
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Examiner	Author, Title, Date, Portion Reisinger H. et al., "Retention", 1997 Sy	ertinent Pages	OTHER	R ART	WO atile Memor	ries w	ith In	class	Yes	No X
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Information Disclosure Statement PTO-1449 (Modified)

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